PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sub	stitute for form 1449A/I	B/PTO		Complete if Known		
,				Application Number	09/644,668	
IV.	IFORMATIO	ON DISC	LOSURE	Filing Date	August 24, 2000	
S	TATEMEN [*]	T BY AP	PLICANT	First Named Inventor	Alan J. Korman	
	44			Art Unit	1644	
	(Use as man)	/ sheets as nec	essary)	Examiner Name	J. H. Roark I. Ouspenslei	
Sheet	1	of	3	Attorney Docket Number	04280/100M321-US1	

	·		U.S. PA	TENT DOCUMENTS	· · · · · · · · · · · · · · · · · · ·
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
T.O.	1	US-6,682,736-B1	01-27-2004	Hanson et al.	7
	2	US-5,648,471-B1	07-15-1997	Buttram et al.	
	3	US-4,399,216-B1	08-16-1983	Axel et al.	
	4	US-4,681,581-B1	07-21-1987	Coates	
	5	US-4,683,195-B1	07-28-1987	Mullis et al.	1
	6	US-4,683,202-B1	07-28-1987	Mullis	
	7	US-4,735,210-B1	04-05-1988	Goldenberg	
	8	US-4,740,461-B1	04-26-1988		
	9	US-4,816,397-B1	03-28-1989	Boss et al.	
	10	US-4,921,040-B1	05-01-1990		
	11	US-4,959,455-B1	09-25-1990	Clark et al.	
	12	US-5,101,827-B1	04-07-1992	Goldenberg	
	13	US-5,151,510-B1	09-29-1992	Stec et al.	
	14	US-5,194,594-B1	03-16-1993	Khawli et al.	
	15	US-5,434,131-B1	07-18-1995	Linsley et al.	-
	16	US-5,530,101-B1	06-25-1996		
	17	US-5,545,807-B1	08-13-1996		
	18	US-5,585,089-B1	12-17-1996	Queen et al.	
	19	US-5,591,669-B1	01-07-1997	Krimpenfort et al.	
<u> </u>	20	US-5,612,205-B1		Kay et al.	
	21	US-RE 35,500-B1	05-06-1997	Rhodes	
	22	US-5,643,763-B1		Dunn et al.	-
_	23	US-5,693,761-B1		Queen et al.	
_	24	US-5,693,792-B1		Torii et al.	
- 	25	US-5,697,902-B1		Goldenberg	
 	26	US-5,703,057-B1		Johnston et al.	
+-		US-5,714,350-B1		Co et al.	
+	28	US-5,721,367-B1		Kay et al.	
 		US-5,733,743-B1		Johnson et al.	
-	30	US-5,741,957-B1		Deboer et al.	
		US-5,750,172-B1		Meade et al.	
1	32	US-5,756,687-B1		Denman et al.	
1	33	US-5,773,253-B1		Linsley et al.	
—		US-5,777,085-B1		Co et al.	
+	35	US-5,789,215-B1		Berns et al.	
	36	US-5,827,690-B1		Meade et al.	
1		US-5,916,771-B1		Hori et al.	
1		US-6,051,227-B1		Allison et al.	
-	39	US-6,207,156-B1		Kuchroo et al.	
1				Lonberg et al.	
10.		US-2002/0086014-A1		Korman et al.	

		FOREI	GN PATENT D	OCUMENTS	· · · · · · · · · · · · · · · · · · ·
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner Signature		Dlia Ouspeu	Shi	Date Considered	12/13/2004

FE 2 L TOMA TO SOLLED

PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 3

Complete if Known				
Application Number	09/644,668			
Filing Date	August 24, 2000			
First Named Inventor	Alan J. Korman			
Art Unit	1644			
Examiner Name	J. H. Roark J. Ouspensle			
Attorney Docket Number	04280/100M321-US1			

1	0,	42	CA-2,205,680-B1	11-16-1998	The University of Western Ontario	
		43	EP-0 216 846-B2	04-26-1995	Celltech Limited	
L_	<u> </u>	44	EP-0 256 055-B1	08-28-1991	Celltech Limited	
L_		45	EP-0 323 997 B1-B1	04-21-1993	Celltech Limited	
<u> </u>		46	EP-0 338 841-B1	03-22-1995	Celltech Limited	
		47	EP-0 463 151-B1	06-12-1996	Cell Genesys Inc.	/ /
	<u> </u>	48	EP-0 546 073-B1	09-10-1997	GenPharm International, Inc.	
	<u> </u>	49	WO-WO 92/22647-A1	12-23-1992	GenPharm International, Inc.	
		50	WO-WO 97/20574-A1	06-12-1997	The Regents of the University of California	
<u> </u>	<u> </u>	51	WO-WO 97/38137-A1	10-16-1997	Mercator Genetics, Inc.	
	L	52	WO-WO 98/46996-A2	10-22-1998	The John P. Robarts Research Institute	
	L_	53	WO-WO 97/13852-A1	04-17-1997	GenPharm International, Inc.	
		54	WO-WO 95/03408-A1	02-02-1995	Dana-Farber Cancer Institute	
		55	WO-WO 98/50433-A2	11-12-1998	Abgenix, Inc.	
		56	WO-WO 00/00569-A1		National Silicates Ltd.	
		57	WO-WO 96/14436-A1	05-17-1996	GenPharm International, Inc.	1
		58	WO-WO 96/22380-A2	07-25-1996	Cell Genesys, Inc.	 -
		59	WO-WO 01/14424-A2	03-01-2001	Medarex, Inc.	
		60	WO-WO 92/22670-A1	12-23-1992	GenPharm International, Inc.	
		61	WO-WO 93/00431-A1	01-07-1993	Bristol-Myers Squibb Company	
		62	WO-WO 95/01994-A1	01-19-1995	Synergen, Inc.	
		63	WO-WO 95/24217-A1	09-14-1995	Dana-Farber Cancer Institute	
		64	WO-WO 00/32231-A1	06-08-2000	The Regents of the University of California	
I.	0,	65	WO-WO 94/29444-A1	12-22-1994	The Government of the United States of America	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant*s unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
<u> </u> \$.0.	66	L. Green et al.: "Regulation of B Cell Development by Variable Gene Complexity in Mice Reconstituted with Human Immunoglobulin Yeast Artificial Chromosome," J. Exp. Med., Volume 188, Number 3, August 3, 1998 483-495.					
10	67	L. Green et al.: "Antigen-specific human monoconal antibodies from mice engineered with human Ig heavy and light chain YACs," Nature Genetics, Vol. 7, May 1994.					
IO	68	T. Choi et al.: "Transgenic mice containing a human heavy chain immunoglobulin gene fragment cloned in a yeast artificial chromosome," Nature Genetics, Vol. 4, June 1993					
IO	69	N. Tuaillon et al.: "Human immunoglobulin heavy-chain minilocus recombination in transgenic mice: Gene-segment use in μ and γ transcripts," Proc. Natl. Acad. Sci. USA., Vol. 99, pp.					

Examiner	CFV.	()	~ //	Date		7.	7	
Signature	Lovina	1/(25/20)	i. X / ^ c	Date	12	/1a .	1200//	
Digitature	STATIN_	100021121	11 200M	I Considered I	121	$1 \supset I$	12004	

FEB 2 4 2004 6

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/B/PTO Application Number 09/644,668 INFORMATION DISCLOSURE Filing Date August 24, 2000 STATEMENT BY APPLICANT First Named Inventor Alan J. Korman Art Unit (Use as many sheets as necessary) Examiner Name J. H. Roark Sheet 3 Attorney Docket Number

		3720-3724, April 1993, Immunology.
100	70	N. Tuaillon et al.: "Analysis of Direct and Inverted DJh Rearrangements in a Human Ig Heavy Chain Transgenic Minilocus," The Journal of Immunology, 1995, 154: 6453-6465.
	71	L. Taylor et al.: "Human immunoglobulin transgenes undergo rearrangment, somatic mutation and class switching in mice that lack endogenous IgM," International Immunology, Vol. 6, No. 4, pp. 579-591.
	72	N. Lonberg et al.: "Antigen-specific human antibodies from mice comprising four distinct genetic modifications," Nature, Vol. 368, 28 April 1994.
	73	M. Mendez et al.: "Functional transplant ofmegabase human immunoglobullin loci recapitulates human antibody response in mice," Nature Genetics, Volume 15, February 1997.
	74	L. Taylor et al.: "A transgenic mouse that expresses a diversity of human sequence heavy and light chain immunoglobulins," Nucleic Acids Research, 1992, Vol. 20, No. 23, pp. 6287-6295.
Io	75	J. Chen et al.: "Immunoglobulin gene rearrangement in B cell deficient mice generated by targeted deletion of the Jh locus," International Immunology, Vol. 5, No. 6, pp. 647-656.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{&#}x27;Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.